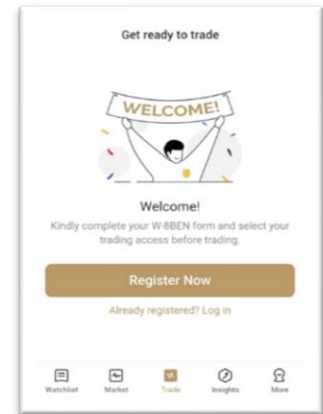


## A Premier trading platform designed to transform your trading experience with TA Securities Holdings Berhad (“TASH”)



### Features

- Mobile Trading on the Go;
- Real-Time Market Data (\*Fees applied);
- Advanced Analytics Tools;
- Integrated Market News;
- Portfolio Management Dashboard;
- High-level Security.



### Global Market Access

- Access to multiple markets (US, HK, SG (coming soon), etc.);
- Multilingual interface designed to support regional and international traders.



### Built for Traders

- User-centric design refined through soft-launch testing;
- Backed by TA Securities' proven reputation and client support;
- Competitive brokerage rates.



### Download

- Available on both Google Play and Apple iOS.

**FAQ for TA SmarTrade****Account Related****1) Who is Eligible to Apply for the TA SmarTrade Account?**

TASH allows all TA SmarTrade users to apply for a TA SmarTrade account, but only those who maintain an active TASH retail trading account will be able to activate the account. TASH clients would need to inform their dealer representative or TASH front desk operation regarding the intention to use TA SmarTrade before their account activation by the TASH back office as they would need to submit the Bank Negara Disclosure Form and Risk Disclosure Statement Form.

Depending on the client's status, the TA SmarTrade account opening would be approved/completed within 1 to 3 business days. If the client did not have an active TASH retail trading account, the process might be longer due to the opening/reactivation of the TASH retail trading account and if additional documents are required.

**2) Withdrawal or Suspension of Access to TA SmarTrade**

The client must properly conduct their trading activities and abide by the rules and regulations of the relevant authorities and the terms and conditions of TASH. Failure to do so may result in the access to their TA SmarTrade account being withdrawn or suspended.

**3) TA SmarTrade Account Types**

TASH currently only offers TA SmarTrade cash account (credit limit based on collateral with TASH) to our clients to trade in the United States of America and Hong Kong Exchanges. TASH will offer additional Exchanges, such as Singapore in the future.

**4) Existing Trading Limit with TASH**

By default, a TASH client's credit limit will be shared across the platforms the client enrolled in.

In the event the client would like to utilise all his/ her credit limit in a single platform, the client may inform TASH to reconfigure their credit limit arrangement.

**5) Existing Foreign Stocks Portfolio with TASH**

TA SmarTrade is a new foreign stocks trading platform provided by TASH to our clients. Clients' existing foreign stock portfolio will not be reflected in TA SmarTrade and the client would need to continue their transaction through the existing platforms.

Only those transactions that are carried through TA SmarTrade will be reflected in the application, clients would need to carry out transactions according to the platform they traded on as TASH would not consolidate their position into one single platform. However, we do encourage clients to familiarise themselves with TA SmarTrade as TASH will launch our new initiatives through this platform in the future.

**6) Settlement**

TASH by default will settle clients' trades according to their traded currency but clients may choose to settle the trades in MYR or foreign currency. For clients who choose MYR as the settlement currency for their transactions, the exchange rate will be based on TASH contract buy/sell rates for the day.

As for those who choose to settle in foreign currency, they must ensure sufficient foreign currency is available in their trust account. TASH will convert MYR to the required currency if there is any shortfall in the client's account.

**7) Accepted Fund Deposit Method**

Depending on the branch of TASH where the clients have opened their accounts, the client can either make a payment via online banking or bank-in directly to any of the TASH bank accounts. The client may check with the TASH back office regarding banking details.

For TASH to take immediate action upon the client's payment, the client shall provide the bank-in details (i.e. client code, amount, bank credited & bank-in date) via phone to their Dealer's Representative.

**8) Fund Withdrawal Method**

A client can request a withdrawal by informing their dealer representative. TASH will refund the amount clear of any outstanding and relevant fees to the client's bank account that is maintained with TASH.

**9) Short-Selling**

Short selling is not available now but TASH might roll out this feature in the future.

**10) Contra Trading**

A client can carry out contra trading on the same day but the client must have sufficient trading limit before placing a buy order.

**TA SmarTrade Application Related****1) How to Reset Login Password**

A client can change their login password in the account & security page which can be found in the “more” tab. In the event the client forgets their password, they can select “forgot password” on the login page and obtain the verification code before entering the new password.

**2) Are the Stock Quotes in Real-Time?**

TASH provide real-time stock quotes with a monthly fee in TA SmarTrade. To access these real-time stock quotes, clients have to complete an application form available through MSDD. In terms of billing, the fees are charged on the first day of each calendar month and will be automatically settled via your trust account. Clients should take note that each charge would be on a full-month basis, no matter the subscription start or end within any day of the month.

Meanwhile, TASH has launched a referral program for the real-time stock subscription. Clients who successfully referred and maintained five active users who subscribed to TA SmarTrade live data during each charge will be rewarded with a free live data subscription for the month.

**3) Tradeable Securities**

TASH is committed to providing a robust selection of investment opportunities, but due to internal operational and strategic considerations, TA SmarTrade may not offer every security available on every exchange.

In the event a client's order was rejected/ cancelled/ pending or received similar response from the application, it might due to the enterprise value\* is below USD 30 million and/ or the price per share is below USD 1 and/ or the company was under special circumstances hence SmarTrade would not be able to route the clients' order to the related exchange.

\*Enterprise Value = Market Capitalization Value + Total Debt – Cash and Cash Equivalent.

**4) Currency Exchange Rate**

The currency exchange rate in TA SmarTrade is only an indicative rate. Clients would have to refer to their contract statement or their monthly statement for the final rates.

## **Fees Related**

### **1) Are There Any Fees to Use TA SmarTrade?**

There are no fees involved for the usage of TA SmarTrade but the types of fees and charges that might apply to clients include, but are not limited to the below depending on the Exchanges the client trades with:

• Brokerage fees	• Trading fees	• Clearing fees
• Settlement fees	• FC/FRC transaction levy	• ADR custodian fees

For the most accurate and up-to-date fee structure, clients may refer to the Exchange website or consult their dealer representative for the latest applicable rates.

Clients should fully understand the fees and charges' structure before they start trading especially our brokerage fees as the price and/or quantity of a counter would result in different kinds of calculations (i.e. by quantity of shares or by percentage of transacted value).

### **2) TA SmarTrade Local Brokerage Rates**

The local brokerage rates as of the issuance of this document are as follows:

Exchange	TA SmarTrade		Existing platform
SG	0.10%	Min SGD 15	Up to 0.70%
US	USD 0.10/ share (Share price < \$100)	Min USD 2.88 (Transaction < RM10k)	
	0.10% (Share price > \$100)	Min USD 20 (Transaction > RM10k)	
HK	0.15%	Min HKD 100	

For the most accurate and up-to-date local brokerage rate, clients may refer to TASH or consult their dealer representative for the latest rates.

### **3) Live Data Subscription Fees**

The live data monthly subscription fees are as follows:

Market	Monthly Data Fees
Singapore	15 SGD
United States	10 USD
Hong Kong	75 HKD

**Others****1) Web-based version of TA SmarTrade**

TA SmarTrade currently only available through mobile application but TASH will explore web-based version in the future to cater the needs of different types of users.

**2) Miscellaneous**

TASH reserves the right to vary or amend the terms and conditions of TA SmarTrade at any time at its absolute discretion, with or without notice and without assigning any reason whatsoever.

TASH reserves the right to withdraw access to the TA SmarTrade application in the Google Play Store or Apple App Store at any point in time without notice and assigning any reason whatsoever.

**3) Contacts**

For any other concerns or questions, you may contact TASH through the email [kl@ta.com.my](mailto:kl@ta.com.my) or [tasmrtd@ta.com.my](mailto:tasmrtd@ta.com.my) for TA SmarTrade account-related matters.